REACTORS & PROCESSES



non eq. conditions can exist also at atmospheric pressure e.g., APGD, DBD plasmas



pressure, feed flow rate power input, frequency, pulsation, residence time, substrate temperature, bias, ...

bias potential



positive charge electroneutrality



DC plasmas are not suitable for dielectrics, need internal electrodes, are not stable, offer a low plasma density.

Most plasma sources in LP regimes use radiofrequency (13.56 MHz) or microwaves (2.54 GHz).

LP, Low Pressure

AP, Atm Pressure

Audiofrequency (KHz) plasmas in LP regimes are characterized by an intense ion bombardment of the substrate (radiation damage), quite difficult to control. In AP regimes KHz sources are most utilized

	plasma	density	
KHz	MHz	GHz	In LP regime
∢			

ion bombardment

SPUTTERING



Low Energy Bombardment activation of treatments activation of PE-CVD no surface damage

High Energy Bombardment de-activation of PE-CVD sputter-etching surface damage ejection of material from the electrode contamination

LOW-PRESSURE PLASMA CHEMISTRY the arena

GAS PHASE (0.01 – 10 Torr)

electroneutrality $n_{+} = n_{-} \rightarrow n_{I+} = n_{e-}$

ideal gas approximation

PV = nRT

mean free path 10 μ m – 1mm low number of e⁻ – neutral elastic collisions:

non equilibrium conditions $T_e \implies T_{el} > T_{rot}, T_{vib} > T_{trasl}$

PLASMA – SURFACE INTERACTIONS

Surfaces develop a negative charge respect to the plasma bulk:

electroneutrality does not hold near surfaces, and a "sheath" develops

positive ions bombard surfaces + neutrals react with surfaces Most applications of non equilibrium plasmas requires that the gas remains at room T. Since the low efficiency and number of elastic collisions at low P limit the energy transfer from free electrons to heavier species, it is quite easy to produce cold Low P gas discharges. With increasing pressure, however, the electron-species collision frequency increases, the energy transfer becomes more efficient, resulting in gas heating and plasma instabilities (e.g., sparks and arcs). **Many approaches are used to keep the gas cold in Atmospheric P discharges, namely:**

- sharp electrodes, as in corona discharges;
- pulsing the plasma; μs-ns wide plasma pulses
- improved heat transfer;
- using gases (e.g., He) with high thermal conductivity;
- reduce the size of plasmas (e.g., micro-discharges);
- reduce the current with dielectric layers on the electrodes, as in Dielectric Barrier Discharges (DBD)



FE-DBD: Sinusoidal, Micro-pulsed, Nano-pulsed

Continuous (sinusoidal) Rise time: ~1 V / nsec Sinusoidal wave

Filament temperature: 350-450K

Microsecond-pulsed Rise time: ~5 V/nsec Pulse duration: ~2 µsec

Filament temperature: 320-420K



Nanosecond-pulsed Rise time: ~3,000 V / nsec Pulse duration: ~40 nsec

Rotational temperature: $\sim 300 K$

Nanosecond pulse



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Microdischarge Interaction and Structuring in Dielectric Barrier Discharges





Continuous wave

PLASMA REACTORS LP/AP



PLASMA PARAMETERS: external and internal

"external" PARAMETERS

imposed from the operator

Pressure

Feed composition, flow rate, leaks

Field frequency, power density

Reactor configuration, materials, electrode geometry

Substrate position

(e.g. glow vs. afterglow)

Duty cycle %, *time on, time off* in pulsed plasmas

Substrate temperature

Substrate bias potential

"internal" PARAMETERS

output from diagnostics

Fragmentation degree of the feed Density and distribution of neutrals Distribution energy (EEDF) and density (n_e) of electrons **Ionization degree** Residence time of the species **Process homogeneity** Positive-ion bombardment, sputtering Deposition, etching, treatment rate **Contaminations**





SUBSTRATE POSITION glow vs afterglow (AP)

POWER (bias) MODULATION



Modulation Parameters

Period = $t_{ON} + t_{OFF}$

Duty Cycle = (t_{on}/period)*100

<u>Effective power</u> W_{eff} = W_{tot} x DC





DRY ETCHING







nano (bio) composite coating

····

(bio) organic/inorganic metal/ceramic clusters embedded in a matrix

functional coating (-COOH, -NH2, -OH, >C=O, ...) L.L.L.I.I.I.I.I.I.I.I.

substrate

organic PEO-like, pdAA, teflon-like, silicone-like ...

high monomer structure retention



substrate

organic teflon-like

modified thickness 10 – 1000 nm

CVD, Chemical Vapor Deposition

The precursor of the coating is in the gas phase.

The deposition/polymerization process can be initiated by an initiator molecule and/or by a hot filament, or by heating the substrate. (e.g., pyrolysis, i-CVD, etc)

PE-CVD, Plasma-Enhanced CVD

The precursor of the coating is in the gas phase.

The deposition process is initiated by fragmenting the "*monomer*" with an electric field (glow discharge). In case of organic monomers the jargon term *plasma polymerization* is utilized

PVD, Physical Vapor Deposition

The precursor of the coating is in the solid phase (filament, electrode). The deposition process is initiated by heating a filament (evaporation) or by sputtering from an electrode bombarded by positive ions (glow discharge, ion gun, etc).

PLASMA TREATMENTS

grafting of functional groups



modified thickness 1 – 10 nm

surface modification (deposition, etching, grafting) plasma processes can be considered nanotechnologies for the z axis

HYDROPHILIC TEXTILE

untreated







water adsorbtion kinetics



 $CF_4 \rightarrow plasma \rightarrow CF_x radicals + F atoms$ $CF_4 + O_2 \rightarrow plasma \rightarrow CF_{x-1} radicals \downarrow + CO, CO_2 + F atoms \uparrow$

F atoms + pol. surf. \rightarrow fluorinated (grafted) pol. surf. CF_x radicals + pol. surf. \rightarrow fluorinated (coated) pol. surf.

HYDROPHOBIC PBT WNW



O_2 plasma treated



water adsorbtion kinetics





$$O_2 \rightarrow plasma \rightarrow O_2^* + O_{atoms}$$

 $O_2^* + O_{atoms} + pol. surf. \rightarrow oxidized (grafted) pol. surf.$ $O_2^* + O_{atoms} + pol. surf. \rightarrow oxidized (etched) pol. surf. + CO, CO_2, H_2O$

SURFACE MODIFICATION OF MATERIALS WITH LOW PRESSURE PLASMA TECHNIQUES

active species interact with surfaces in three different processes

PLASMA (Dry) ETCHING

Ablation of materials (Si, SiO₂, III-V, II-VI, resists, polymers, metals, etc.) through reactions with active species forming volatile compounds. ASHING: etching of polymers in O_2 plasmas.

PE-CVD PLASMA ENHANCED CHEMICAL VAPOR DEPOSITION

Inorganic (SiO₂, DLCs, diamond, a-Si:H, etc.) and organic (silicone-, PEO- teflon-like, etc.) coatings can be deposited.

PLASMA POLYMERIZATION is jargon name for PE-CVD of organic coatings;

where a **MONOMER** is used to feed the discharge.

PLASMA TREATMENTS

Modification of the topmost layers of materials (polymers) by grafting chemical groups ($-NH_2$, - COOH, -F, -OH...) and/or crosslinking surfaces with reactive (NH_3 , CF_4 , O_2 , ...) or inert (Ar, He,...) gases (CASING).

TECHNOLOGICAL APPLICATIONS OF COLD PLASMAS

Gas phase reactions

Production of Ozone; abatement of pollutants; vehicle exhaust gas treatments.

Light sources

Neon lights; High Intensity Discharge (HID) car lights; TV plasma displays.

Aerospace

Plasma-based space propulsion technologies; plasma-aided combustion; plasma actuators for airplane wings.

Surface modification processes of materials

Etching processes

Si, SiO₂ and other materials in Microelectronics for production of Ultra Large Scale (ULS) Integrated Circuits (IC);

Deposition of thin films

gas/vapor barrier layers in Food Packaging; protective anti-corrosion coatings on metals

hydrogenated amorphus Silicon (a-Si:H) photovoltaic coatings for solar cells;

diamond and diamond-like (DLC) hard coatings;

functionalization of very large area substrates (polymer webs, textiles, displays).

Treatments

grafting of polar groups on polymers for printing;

improving hydrophilicity and citocompatibility of polystirene cell-culture plates.

Plasma sterilization of materials

Plasma synthesis/functionalization of micro/nanoparticles

Synthesis of semiconductor nano-crystals; synthesis of carbon nanotubes;

functionalization of micro/nanoparticles.

Therapeutic uses of plasmas (Plasma Medicine)

Plasma-aided surgery; wound healing; cancer treatments; disinfection of teeth cavities in dentistry.

SURFACE PROPERTIES OF MATERIALS TUNABLE WITH PLASMA PROCESSES

adhesion

wettability/hydrophobicity

oleophobicity

gas/vapor barrier

permeability

biocompatibility

resistance to bacterial adhesion

chemical inertness

non fouling character

electrical conductivity

hardness (anti-scratch)

roughness, texture

refractive index

dyebility

color

...

corrosion protection

WHY NON EQUILIBRIUM PLASMAS?

LOW TEMPERATURE PROCESSES FOR THERMOLABILE MATERIALS SURFACE MODIFICATIONS, NO BULK ALTERATIONS

polymers, paper, textiles, ...

ADAPTABLE TO ANY SHAPE AND MATERIAL SUBSTRATE webs, inside of small tubes, powders, granules, fibers, ...

> HIGH DENSITY OF ACTIVE SPECIES comparable with high T gases and flames

> > **TUNEABLE ION BOMBARDMENT**

DRY TECHNOLOGY, NEGLIGIBLE IMPACT TO THE ENVIRONMENT

ATMOSPHERIC PRESSURE PROCESSES

SYNTHESIS OF AN ENTIRELY NEW CLASS OF SURFACES

TRANSFER TO INDUSTRIAL SCALE

PROCESS CONTROL POSSIBLE

INDUSTRIAL AREAS of COLD PLASMAS

MICROELECTRONICS SEMICONDUCTORS SOLAR CELLS LIGHT SOURCES **OZONE PRODUCTION AUTOMOBILE** FOOD PACKAGING TEXTILE BIOMATERIALS MICROFLUIDICS MEMS CLEANING **STERILIZATION** BIOLOGY ENVIRONMENT

CATALYSIS MEDICINE POLYMERS PAPER WETTABILITY **ADHESION** METALLIZATION **PRINTING, DYEING CORROSION PROTECTION** CULTURAL HERITAGE COMPOSITES **SENSORS OPTICS** BUILDINGS



ETCHING

Si, SiO₂, III-V & II-VI SC, resists, dielectrics, Al ... for VLSI circuits; cleaning; bioMEMS; sterilization; glass, PMMA for microfluidics, soft litography

PE-CVD (coatings)

Gas barrier in food packages (webs, bottles, ...) and OLED; corrosion protection on metals (car, ...); protective for cultural heritage; low-k and high-k dielectrics for microelectronics; semiconductors for solar cells; antiscratch, anti-reflective, colored for optics; water- oil-proof for paper and textiles; cell-adhesive, bloodcompatible, protein and cell repulsive, bacterial-resistant for biomaterials; hydrophilic/hydrophobic; functional for (bio)molecule (enzymes, peptides, ...) immobilization on biomedical surfaces and sensors; nanocomposite and bio-nanocomposite coatings,

TREATMENTS (grafting)

Improved adhesion of inks, dyes, glues, metals on polymers and textiles; anti-felting, anti-shrinking for wool; improving fiber- and particle-matrix adhesion in composite materials or scaffolds; hydrophilic/hydrophobic; cell-adhesive, grafted groups to immobilize (bio)molecules on biomedical surfaces and sensors; ...



PE-CVD SiOx "protecting seal" coatings on Al-evaporated plastic car lights









REACTOR CONCEPTS Pietro Favia





http://www.ion-med.com/how.asp



LOW P PLASMA REACTORS: popular configurations

INTERNAL ELECTRODES bell jar reactor





EXTERNAL COUPLING



CAPACITIVE



INDUCTIVE



CAPACITIVE

EXTERNAL COUPLING



INDUCTIVE

Inductively coupled plasma

This electrostatic, shielded, inductively coupled plasma source produces electric field lines from a helical resonator combined with an electrostatic shield to produce electric field lines that are circumferential in response to the axial RF magnetic field.





PARALLEL PLATE PLASMA REACTOR internal electrodes



POTENTIALS IN A GLOW DISCHARGE (oversimplified picture)



WITH THE BLOCKING CAPACITOR NO NET CURRENT CAN FLOW IN THE CIRCUIT IN ONE RF CYCLE


AVERAGE PLASMA POTENTIAL > 0 (e.g. Vp/2)

AVERAGE TARGET POTENTIAL = 0



THE SITUATION IS SIMILAR FOR A FLOATING SUBSTRATE

FLOATING POTENTIAL

AVERAGE POTENTIAL ON AN ELECTRICALLY INSULATED SUBSTRATE

BIAS (or SELF) BIAS POTENTIAL

AVERAGE POTENTIAL OF A SUBSTRATE (ELECTRODE) CONNECTED TO A RF POWER SUPPLY

AVERAGE PLASMA POTENTIAL

AVERAGE POTENTIAL OF THE BULK OF THE PLASMA

1. AC voltage overcomes the problem of charge which accumulates on a dielectric in the DC system.

The positive charge which accumulates due to ion bombardment during one half of the AC cycle can be neutralized by electron bombardment during the next half cycle. The frequency of AC must be high enough so the half period will be shorter than the charge-up time of the dielectric. Although this time will vary due to conditions and dielectric materials, for most applications the frequency must be above **100 KHz**.

2. Although there are a number of differences in the practical operation of AC plasmas, the principles of DC glow discharges can be applied to AC. One simply considers the AC as a rapidly reversing DC plasma.

At low frequency both electrons and ions can follow the field, so that a glow discharge is the same as DC, except that the polarity reverses twice each cycle. At high frequency the massive ions cannot respond to the frequency changes, whereas electrons can. By far the most common RF frequency used is 13.56 MHz, allowed by the FCC.



Fig. 9. The potential on the powered electrode of Fig. 8b as a function of time for the first several rf cycles.

MATCHING NETWORK

The matching network (manual/automatic) maximizes the power delivered to the plasma, reduces reflected power (standing waves) and protects the power supply.

A reactor could be operated also without the matching network; in practice the requested power density cannot be maximized without matching.



In general: Z_L≠ Z_S

Purpose of Matching Network: $Z_{in} = Z_S$ to maximize power delivery from source.





bias potential



positive charge electroneutrality



the thickness of the sheath increases with surface potential

distance

- at 13.56 MHz ions are affected by average potentials since, during the period they cross the sheath, RF polarity changes several times.
- the surfaces in contact with the plasma are interested by positive ion bombardment because plasma bulk is the most positive region in the system.
- positive ion energy depends on system geometry, pressure, frequency, and a.c. peak-to-peak voltage.
- electrons reach surfaces with low energy for the decelerating effect of the sheaths.

ION BOMBARDMENT INSIDE A PLASMA REACTOR

DIRECT COUPLING



PERFECT SIMMETRY NO DIFFERENCE BETWEEN THE TWO ELECTRODES

CAPACITIVE COUPLING

the higher average potential drop (plasma-substrate) is experienced by the electrode with lowest area



Figure 12. instantaneous and averaged potentials as a function of reactor geometry

Koenig & Meissel Law



Assumptions (strong deviation at high P):

- ion current density equal at both electrodes;
- collisionless sheaths
- uniform plasma density

PARALLEL PLATE "DIODE" REACTOR



THE SYSTEM IS **ASYMMETRIC** DUE TO THE REACTOR WALLS



REACTOR GEOMETRY AND CHARACTERISTICS CONTROL THE PHYSICAL CONTRIBUTION TO THE PROCESS





when ω < ITF	the ion bombardment becomes more energetic
(es KHz)	the ion energy distribution function becomes broader

In the GHz, also electrons become unable to follow the oscillations of the electric field and are affected by average potentials



d (macroscopic, Paschen) is related to the Debye length (microscopic) d must avoid discharge ignition with arcs and sparks

the surface potential of insulators can be tuned with an additional RF power supply



DUAL FREQUENCY TRIODE REACTORS

THE ION BOMBARDMENT CAN BE TUNED INDEPENDENTLY FROM OTHER PARAMETERS



to reduce plasma perturbations the substrate electrode must be as small as possible





















ION ENERGY / FLUX POSSIBILITIES IN RIE





FIG. 7. Schematic of an ECR plasma source (reprinted with the permission of Plenum Press).

Industrial applications of low-temperature plasma physics*

Francis F. Chen[†] University of California, Los Angeles, California 90024-1594

2164 Phys. Plasmas 2 (6), June 1995

MAGNETRON







ROTATING REACTOR FOR GRANULES



side view

Internal wing system to keep granules stirred during the process



top view











- Conventional magnetron sputtering
- Complicated structure, high cost > \$7,000,000
- Expensive target, low utility 30%
- Unstable reactive sputtering

- Patented hybrid plasma modular coating
- Simple structure, low cost ≈ \$1,200,000
- Cheap target, high utility 70%
- Stable reactive arc deposition

WEB COATING AND AIR-VACUUM-AIR TREATMENTS



ADVANTAGES

easy web inspection

loading without breaking vacuum

DISADVANTAGES

degassing and leaking

high pump cost

frequent maintenance

limited to air and O_2 treatments

PLASMA ASSISTED METALLIZATION





LARGE SCALE PLASMA REACTORS



self-cleaning layers on glass \rightarrow buildings



Industrial deposition system for the fabrication of DLC coatings for automotive parts and other applications. Each chamber contains six 1.6 m long electrodes. (Courtesy of Hauzer Techno Coatings)



Large area roll-to-roll deposition system for the fabrication of triple-junction photovoltaic cells: 2,500m long, 36 cm wide and 125 μm thick SS foils; 4 compartments: a) washing, b) back reflector sputtering (AI, ZnO), c) PECVD of 9-layer triple junction – nc-Si and SiGe, d) AR coating – ITO.

System: 90m long, 3m tall, web speed 30 cm/min, 14,5 km of solar cells in 72 hrs. (Courtesy of United Solar Ovonic, USA)

Paschen Law



Low PxS

electrons experience not enough ionizing collisions while travelling between electrodes

High PxS

due to the high collision frequency, electrons cannot accelerate enough to provide a minimum number of ionizing collisions

Atmospheric Pressure REACTORS and SOURCES

M. Laroussi, ODU 1999 He plus Air DBD, f = 20 kHz


Most applications of non equilibrium plasmas requires that the gas remains at room T. Since the low efficiency and number of elastic collisions at low P limit the energy transfer from free electrons to heavier species, it is quite easy to produce cold Low P gas discharges. With increasing pressure, however, the electron-species collision frequency increases, the energy transfer becomes more efficient, resulting in gas heating and plasma instabilities (e.g., sparks and arcs). **Many approaches are used to keep the gas cold in Atmospheric P discharges, namely:**

- sharp electrodes, as in corona discharges
- pulsing the plasma; μs-ns wide plasma pulses;
- improved heat transfer; higher flow rates;
- using gases (e.g., He) with high thermal conductivity;
- reduce the size of plasmas (e.g., micro-discharges);
- reduce the current with dielectric layers on the electrodes, as in Dielectric Barrier Discharges (DBD)

P. J. Bruggeman, Atmospheric Pressure Plasmas, in Low Temperature Plasma Technology: Methods and Applications, P. K. Chu, XinPei Lu ed, CRC, 2013





Courtesy of Prof. M.C.M. "Richard" Van de Sanden, TUE, Eindhoven

The Expanding Plasma, PECVD of $\alpha\mbox{-Si:H}$



Very high deposition rates: 10 nm/s. 400 nm within 1 minute!



FIGURE 2.10 (a) Gliding arc electrode configuration with indication of the LTE and non-LTE region. (b) Superposition of snapshot images of a gliding arc discharge that illustrates the dynamic movement of the arc. (Reprinted with permission from Fridman, A., *Plasma Chemistry*, Cambridge University Press, Cambridge, 2008, © Cambridge University.)



•elettrodi asimmetrici

per stabilizzare la scarica.

•alti voltaggi, corrente continua

 scarica omogenea, localizzata all'elettrodo piccolo, ad alto voltaggio, estesa verso l'elettrodo a massa.
forte disomogeneità spaziale

- •almeno uno strato **dielettrico** fra gli elettrodi metallici.
- •alti voltaggi, corrente alternata

 microscariche (~10 ns, r~0.1 mm) distribuite omogeneamente nello spazio e nel tempo su tutto l'elettrodo: FILAMENTARY DBD

•In opportune condizioni è possibile ottenere scariche omogenee come a bassa pressione: **GLOW DBD**



multi-tip pulsed corona discharge tested at LAPLACE-PRHE Laboratory.



CORONA DISCHARGES IN AIR

ACTIVATION OF POLYMERS TO PRINTABILITY GLUEABILITY DYEABILITY WETTABILITY



Modifica superficiale di materiali

Trattamento di web polimerici per incrementarne bagnabilità e proprietà adesive









Dielectric Barrier Discharge (DBD) Configurations



REGIMI DI SCARICA

Glow discharge GDBD

Filamentary discharge FDBD



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High Voltage Electrode











Discharge Tubes in Ozone Generators



Ozonia AT Ozone Generator



Courtesy of Dr. Uli Kogelschatz



FIGURE 7.2 Schematic of a DBD plasma jet. (a) two ring electrodes DBD jet; (b) single electrode DBD jet; (c) electric field-enhanced two electrode DBD jet; (d) electric field-enhanced single electrode DBD jet; (e) plasma pencil.